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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/584,688	06/01/2000	Akito Umebayashi	50023-122	5665

20277 7590 08/12/2003

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WASHINGTON, DC 20005-3096

EXAMINER

QURESHI, SHABANA

ART UNIT	PAPER NUMBER
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2155

DATE MAILED: 08/12/2003

9

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

09/584,688

Applicant(s)

UMEBAYASHI, AKITO

Examiner

Shabana Qureshi

Art Unit

2155

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 02 June 2003.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-13 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-13 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

## Attachment(s)

- ☐ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_
- ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_
- ☐ Notice of Informal Patent Application (PTO-152)
- ☐ Other: \_\_\_\_\_

DETAILED ACTION

*Response to Amendment*

1. Applicant's arguments filed June 2<sup>nd</sup>, 2003 have been fully considered but they are not persuasive.
2. As per Applicant's argument that Shima does not teach a specific character string that generates a control instruction, Examiner maintains previous rejection on the basis that a file name can be considered to be a character string that affects the control instruction, may be specific, and may be interpreted as a character string. Further amendment must be made in order for the Application to be considered for allowance.

*Claim Rejections - 35 USC § 102*

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

Claims 1-13 are rejected under 35 U.S.C. 102(e) as being anticipated by Shima (US 6,369,909 B1).

As per claim 1, Shima teaches a network system comprising clients and electronic equipment with which the client can communicate via communication protocol by specifying a file name (column 6, lines 44-64), wherein there is provided command interpretation means that

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interprets a file transfer protocol command sent out by the client and a character string written on the file name field of the command as an instruction only when the string is a specific character string (column 2, lines 28-41) and generates a control instruction to the electronic equipment based on the interpretation of the character string and the command (column 2, lines 28-41).

As per claim 2, Shima teaches the network system as defined in claim 1, wherein the communication protocol is a file transfer protocol (column 18, lines 23-31).

As per claim 3, Shima teaches the network system as defined in claim 1, wherein the communication protocol is a hypertext transfer protocol (column 18, lines 23-31).

As per claim 4, Shima teaches the network system as defined in claim 1, wherein there is provided job ID issuing means that issues a job ID to the electronic equipment according to the control instruction (column 8, lines 19-30; 58-67, "job data").

As per claim 5, Shima teaches the network system as defined in claim 1, wherein there is provided operation status informing means that informs the client of each operation status of the electronic equipment according to the control instruction (column 4, lines 6-12).

As per claim 6, Shima teaches the network system as defined in claim 1, wherein there is provided user ID checking means that checks the individual user ID given to each user at the client (column 8, lines 19-67).

As per claims 7-11, Shima teaches the network system as defined in claim 1, wherein the electronic equipment is provided with image data accumulation, inputting, processing, outputting, and managements means to manage image data (column 23, line 56 – column 28, line 67).

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As per claim 12, Shima teaches the network system as defined in claim 11, wherein the electronic equipment is provided with image data management means that manages image data according to the image ID given to each user at the client (column 23, line 56 – column 28, line 67).

As per claim 13, Shima teaches the network system as defined in claim 11, wherein the image data management means is a relational database system (column 23, line 56 – column 28, line 67).

*Conclusion*

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

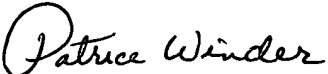
A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Shabana Qureshi whose telephone number is (703) 308-6118. The examiner can normally be reached on Monday - Friday, 9:00am to 5:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Hosain T. Alam can be reached on (703) 308-6662. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 746-7239 for regular communications and (703) 746-7238 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-3948.

SQ  
August 11, 2003

  
PATRICE WINDER  
PRIMARY EXAMINER